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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Steve A. Yon, et al.

Title: SYSTEM AND METHOD FOR INDUCING HYPOTHERMIA WITH ACTIVE PATIENT TEMPERATURE CONTROL EMPLOYING CATHETER-MOUNTED TEMPERATURE SENSOR AND TEMPERATURE PROJECTION ALGORITHM

Serial No.: 10/767,782 Examiner: Not Yet Known

Filed: January 28, 2004 Group Art: 3739

Unit:

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

The following items are being submitted for this Information Disclosure Statement:

1. Preliminary Statements
2. FORM PTO - 1449

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1. Preliminary Statements

Applicants cite herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made, an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

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Date:

Jan. 5, 2005


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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

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of

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Complete if Known

Application Number	10/767,782
Filing Date	January 28, 2004
First Named Inventor	Yon
Group Art Unit	3739
Examiner Name	Not Yet Known
Attorney Docket Number	135001

U.S. PATENT DOCUMENTS

Examiner Initials'	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publications of Cited document MM-DD-YYYY	Pages, Column, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
A1	6,194,899			Ishihara, et al.	02/27/01	
A2	20010002442			Dobak, III	05/31/01	
A3	20010014802			Tu	08/16/01	
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PTO/SB/08B (10-96)

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<p>Substitute for form 1449B/PTO</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p>(use as many sheets as necessary)</p>				Complete if Known	
				Application Number	10/767,782
				Filing Date	January 28, 2004
				First Named Inventor	Yon
				Group Art Unit	3739
				Examiner Name	Not Yet Known
Sheet	2	of	5	Attorney Docket Number	135001

A38	5,403,281	O'Neill, et al.	04/04/95	
A39	20030014094	Hammack, et al.	01/16/03	
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Examiner Initials	Cite No. ³	Foreign Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publications of Cited document MM-DD-YYYY				
		Office ³	Number ⁴	Kind Code ⁵ (if known)					
B1	WO	WO 02/47742		Alsius Corporation	06/20/02				
B2	WO	WO 00/57823		Alsius Corporation	10/05/00				
B3	EP	EP0696176B1		Blosense Webster, Inc.	07/03/02				
B4	EP	EP0428505B2		Gambro Lundia AB	03/14/01				
B5	WO	WO 01/03606		Alsius Corporation	01/18/01				
B6	WO	WO 01/10323		Radiant Medical, Inc.	02/15/01				
B7	WO	WO 02/47742		Alsius Corporation	06/20/02				
B8	WO	WO 03/006085		Radiant Medical, Inc.	01/23/03				
B9	WO	WO 03/015673		Innercool Therapies, Inc.	02/27/03				
B10	WO	WO 04/015382		Radiant Medical, Inc.	02/19/04				
B12	WO	WO 04/075949		Innercool Therapies, Inc.	09/10/04				

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OTHER PRIOR ART—NON-PATENT LITERATURE DOCUMENTS		
Examiner Initials ¹	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	C1	Anon; "Automatic Feedback Instrumentation for Hospital Room Utilizing Microsensors"; IBM Technical Disclosure Bulletin; Vol. 29, No. 3, page 1320 (August 1986).
	C2	Babbs, Charles F. et al.; "Theoretical Basis for Controlling Minimal Tumor Temperature During Interstitial Conductive Heat Therapy"; IEEE Transactions on Biomedical Engineering, Vo. 37, No. 7; pages 662-672 (July 1990).
	C3	Behmann, F.W., et al.; "Heat Generation Control during Artificial Hypothermia: I: Experimental Examination of the Influence of Anesthetic Depth"; Pflügers Archiv, Bd. 266, S. 408-421 (1958) (German article with English translation).
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	C5	Behmann, F.W.; "Regulation of heat production in experimental hypothermia of homothermal animals"; Naunyn Schmiedebergs Arch Exp Pathol Pharmakol; 228 (1-2): 126-128 (1956). (German article with English translation).
	C6	Behmann, F.W.; "Heat Generation Control during Artificial Hypothermia, an article about the economic problem of trembling stages"; Pflügers Archive, Vol. 263, pages 166-187 (1956) (German article with English translation).
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	C9	Benzinger MD, Maria; "Tympanic thermometry in surgery and anesthesia"; JAMA; Vol. 209, No. 8; pages 1207-1211 (August 25, 1969).
	C10	Bone, M.E., et al.; "Bladder Temperature as an Estimate of Body Temperature During Cardiopulmonary Bypass"; Anaesthesia; Vol. 43; pages 181-185 (1988).
	C11	Cabanac, M; "Selective Brain Cooling and Thermoregulatory Set-Point"; Journal of Basic & Clinical Physiology & Pharmacology; Vol.9, No. 1; pages 3-13 (1998).

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C19	Hayes, B., et al.; "Temperature Control in Extracorporeal Circulation"; Br Med J. 1968 Aug 17;2(615):430.
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C24	Kinney, Thomas B, et al.; "Optimizing Myocardial Hypothermia: I. Temperature Probe Design and Clinical Inferences"; Ann Thorac Surg; Vol. 51; pages 278-283 (1991).
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C33	TE Technology, Inc.; "TE technology/Temperature Controllers" (December 19, 2000) available at: http://www.tetech.com/temp/tc24-25.html

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